



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL
FORM**

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Total number of Pages in this submission

Application Number	09/686,004
Filing Date	October 10, 2000
First Named Inventor	Nguyen, et al.
Group Art Unit	3731
Examiner Name	U. Ho
Attorney Docket No.	CSI-2013

Assistant Commissioner for Patents
Washington, D.C. 20231

ENCLOSURES (check all that apply)

- | | | |
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REMARKS:

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I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail" in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 26, 2002
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

For: Minimally Invasive Valve Repair Procedure
and Apparatus

Examiner: U. Ho

Group Art Unit: 3731

CERTIFICATE UNDER 37 CFR § 3.73(b)

Coalescent Surgical, Inc., a **Delaware** corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the parent application identified above.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ A copy of the assignment is attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

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I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United State Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 3/21/02

By: Charles Maroney
President and CEO